

THE BIOSYNTHESIS OF FULVIC ACID, A FUNGAL METABOLITE OF HEPTAKETIDE ORIGIN

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Summary: Analyses of the regiochemistry of [^{13}C]acetate incorporation into fulvic acid (**2**) by *Penicillium brefeldianum* indicates that the metabolite is biosynthesized via a heptaketide intermediate assembled as a single chain of seven C_2 units rather than from two smaller polyketide chains. This information favours a route leading through common C_{14} intermediates to the three fungal metabolites, citromycetin, fulvic acid and fusarubin.

Within the large class of fungal metabolites of polyketide origin, those formed from seven C_2 units have been subdivided by Turner,¹ on the basis of structure and available biosynthetic information, into eight groups. Compounds in the first seven are logically derived from a common linear heptaketide intermediate which is made from one acetyl and six malonyl units. The different groups of end-products are postulated to arise from folding pattern variations during subsequent cyclizing reactions. Citromycetin (**1**) and fulvic acid (**2**), the two compounds in Turner's eighth group, were distinguished from the heptaketide-based metabolites in that their structures could not be derived by simple linear chain folding.

Birch and co-workers² showed that seven C_2 units originating from [^{14}C]acetate were incorporated into **1** by *Penicillium frequentans*. Later, Gatenbeck and Mosbach³ found evidence for two starter units by chemical degradation of **1** labeled biosynthetically from diethyl [2- ^{14}C]-malonate and concluded that two polyketide chains contributed to the structure. A ^{13}C NMR study of **1** labeled from [1- ^{13}C], [2- ^{13}C]- and [1,2- ^{13}C]acetate showed a labelling pattern consistent with a two-chain origin⁴ but also did not exclude pathways in which a single chain is cyclized and then ring-opened to a branched intermediate such as has been postulated on several occasions^{5,6} to be the progenitor of **1**, **2** and fusarubin (**3**). Two such pathways have been noted, one involving a palitantin-type¹ and the other a fusarubin-type⁷ folding of the heptaketide.

We are persuaded by evidence presented here for the biosynthesis of **2** via a single-chain heptaketide that **1**, **2** and **3** arise from common C_{14} intermediates as shown in Fig. 1. The heptaketide folding pattern (A) that gives B upon intramolecular aldol cyclization provides a direct route to the 4a,10a-dihydrofusarubins, from which **3** is formed by non-enzymic oxidation.⁸ This idea has recently been validated by labeling experiments with [^{13}C]- and [^2H]-labeled acetate.⁹ Oxidative fission of the indicated bond in B, followed by appropriate bond reconnections (shown later in Scheme 1) would give rise to **1** and **2**. The hypothesis is attractive because it accounts for the biogenesis of all fungal metabolites containing seven C_2 units as derivatives of a single linear heptaketide which is modified by organism-specific cyclizing and processing reactions.

Penicillium brefeldianum Dodge (NRRL 2083) produces **2** and palitantin (**4**) when grown in Raulin-Thom medium.^{10,11} Both products reach their maximum yield on day 5 of the fermentation.

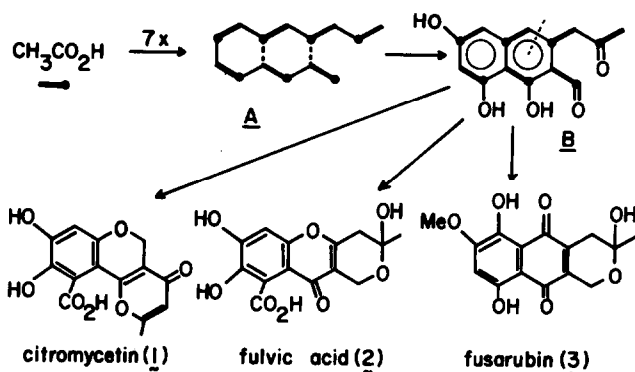


Figure 1. Probable biogenetic origin of citromycesin, fulvic acid and fusarubin.

Experiments with $[1-^{14}\text{C}]$ acetate showed that ^{14}C enrichment of 2 was highest (18-19%) if the precursor was fed on days 2 and 3 whereas 4 was maximally enriched by precursor feeding on days 3 and 4. To achieve high ^{13}C incorporation, therefore, we administered $[1-^{13}\text{C}]$ acetate and $[1,2-^{13}\text{C}_2]$ acetate (each containing 90 atom % ^{13}C) to give 5 mM broth concentrations twice during the two-day periods of maximum metabolite production. The labeled products were isolated on day 5.

The δ_{C} relative to internal Me_4Si of all signals in the ^{13}C NMR spectrum of fulvic acid were assigned on the basis of: comparison of δ_{C} values for 2 and anhydrofulvic acid (6)¹⁰ in pyridine and their respective trimethyl derivatives,¹⁰ 5 and 7, in chloroform; the $^1J_{\text{CH}}$ coupling relationships in these four compounds observed by gated proton irradiation (NOE) experiments; and $^1J_{\text{CC}}$ coupling data obtained from the ^{13}C NMR spectra of ^{13}C -enriched 5 and 7. THE NOE spectrum of 2 had two triplet signals in the upfield region; that of 6 had only one. Similarly, a high-field quartet and a mid-field doublet appeared in the NOE spectrum of 2 and 5. From this evidence we could assign the δ_{C} of C-2, C-7, C-9 and C-11 securely. The resulting assignments enabled us to use the unique $^1J_{\text{CC}}$ relationships visible for all seven intact C_2 units in the spectrum of ^{13}C -enriched 7 to assign the chemical shifts of C-2a, C-7a, C-8a and C-10. The δ_{C} of C-3a and C-4 were distinguished by the value of $^1J_{\text{C}_4\text{C}_{12}}$ and by the presence of a $^3J_{\text{CH}}$ coupling for C-3a but the absence of any long range C,H coupling for C-4. From these data we could assign the δ_{C} of C-3 and C-12 by noting the value of their respective carbon coupling constants with C-3a and with C-4. The δ_{C} for C-12 is also defined by its characteristic upfield shift on methylation. Finally assignment of C-5 and of C-6 was based on the relative magnitudes of long-range $^1J_{\text{CH}}$ patterns and δ_{C} values in 2 vs. 5 and 6 vs. 7. ($[1-^{13}\text{C}]$ Acetate also labeled C-5 and not C-6.) The pertinent spectral data are shown in Figure 2.

We then determined the complete regiochemistry of the incorporation of $[1-^{13}\text{C}]$ acetate and of $[1,2-^{13}\text{C}_2]$ acetate into fulvic acid by analyzing the ^{13}C NMR spectra of ^{13}C enriched 2, 5, 6 and 7. Fulvic acid was labeled only at the (●) carbons (Fig. 2) by $[1-^{13}\text{C}]$ acetate with a ^{13}C enrichment of $2.6 \pm 0.2\%$ per site. Its (—●) carbon pairs were labeled by $[1,2-^{13}\text{C}]$ acetate

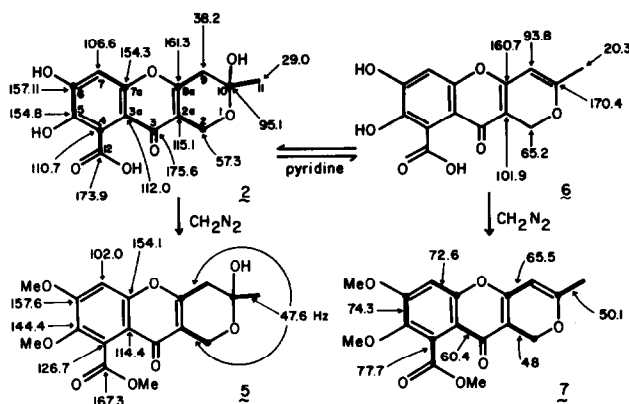
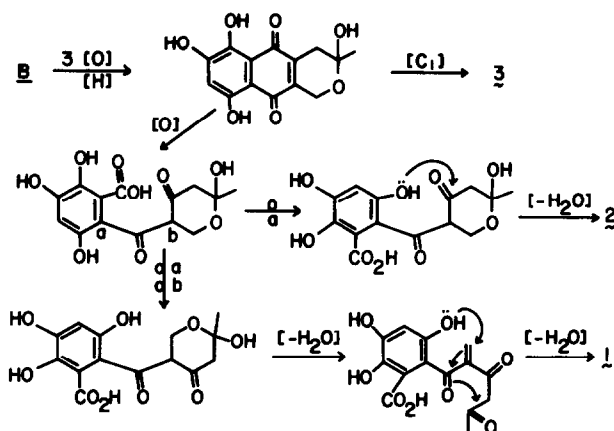


Figure 2. ^{13}C NMR data for fulvic acid and its derivatives. J_{CC} values shown for 5 and 7 are ± 1.2 Hz and all spectra were determined at 22.5 MHz at 27°C.

(Fig. 2) with a ^{13}C enrichment of $1.8 \pm 0.2\%$ per site. Since we could clearly see the small quartet resonances due to $^1J_{\text{CC}}$ coupling between carbons in neighboring $^{13}\text{C}_2$ sub-sets for at least one carbon of each C_2 unit in the ^{13}C NMR spectrum of 5, we could calculate that the ^{13}C enrichment of the individual acetyl/malonyl units was $45 \pm 2\%$ at the time of polyketide chain assembly.⁹

The manner in which fulvic acid is labelled by [^{13}C]acetate is compatible with its formation from a single heptaketide chain folded as in *A* (Fig. 1), which is then cyclized and ring-opened without C_2 -unit bond fission as in the biosynthesis of citromycin. The nearly equal enrichment by [^{13}C]acetate of all one- and two-carbon subsets and the consistent high ^{13}C enrichment of all seven C_2 subsets at the time of chain assembly are more likely for a single-chain origin than for one in which separately formed chains come together. In particular, the intermolecular enrichment of two polyketide chains would probably have fallen below the observed 45% due to dilution with unlabeled material before fusion occurred; we should not then have observed coupling between the neighboring carbons of C_2 units joined in the fusion process. Finally, we can discount palitantin as a precursor of fulvic acid in *P. brefeldianum* because 2 was enriched by acetate significantly earlier than 4.¹²

The weight of evidence from this study and that on fusarubin⁹ thus favours the conclusion that 1, 2 and 3 have a common biosynthetic parentage.¹³ Scheme 1 shows a reasonable sequence of biochemical transformations by which these metabolites could be formed from intermediate *B* of Fig. 1.¹⁴



Scheme 1. Biogenetic hypothesis for the formation of citromycetin (1), fulvic acid (2) and fusarubin (3) from a common intermediate (B).

References and Notes

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- (11) On Czapek-Dox medium the fungus is variously reported to produce only brefeldin A (Harri, E., Loeffler, W., Sigg, H.P., Stahelin, H. and Tamm, Ch., *Helv. Chim. Acta*, 1963, 46, 1235) or chiefly griseofulvin (ref. 6 above).
- (12) We determined the regiochemistry of 4 labeled from $[^{13}C]$ acetate and found it to be as previously reported for $[^{14}C]$ acetate (Birch, A.J. and Cocor, M., *J. Chem. Soc.*, 1960, 866; Chaplen, P. and Thomas, R., *Biochem. J.*, 1960, 77, 91).
- (13) Although the results cited in ref. 3 do not support this conclusion we do not wish to comment until the biosynthesis of 1 is reexamined with appropriate ^{13}C -labeled precursors.
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